SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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obligations may continue. See Instruction 1(b).	\Box	Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addre		0		suer Name and Tick rox Holdings			(Check	tionship of Reportin all applicable) Director Officer (give title	10% C	
(Last) 201 MERRITT	(First) 7	(Middle)		ate of Earliest Trans 09/2021	action (Month	/Day/Year)	X	below)	below vice President	
(Street)			— 4. lf	Amendment, Date o	of Original File	d (Month/Day/Year)	6. Indiv Line)	idual or Joint/Grou	p Filing (Check	Applicable
NORWALK	СТ	06851					X	Form filed by On Form filed by Mo	1 0	
(City)	(State)	(Zip)						Person		
		Table I - Non-De	rivative	Securities Acq	uired, Dis	posed of, or Benef	icially	Owned		
1. Title of Security (Instr. 3) 2. Transact				2A. Deemed	3.	4. Securities Acquired (A)	or	5. Amount of	6. Ownership	7. Nature

	Date (Month/Day/Year)	Execution Date, if any (Month/Day/Year)	Code (Disposed Of 5)			Securities Beneficially Owned Following Reported	Form: Direct (D) or Indirect (I) (Instr. 4)	of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Restricted Stock Units	12/09/2021		М		23,295	D	\$0	76 , 998 ⁽¹⁾	D	
Common Stock	12/09/2021		М		23,295 ⁽²⁾	Α	\$0	152,519 ⁽¹⁾	D	
Common Stock	12/09/2021		F		12,398 ⁽³⁾	D	\$21.06	140,121	D	

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		5. Number of Expiration Date Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		7. Titl Amou Secur Unde Deriv Secur 3 and	int of rities rlying ative rity (Instr.	Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Reflects adjustment of balance due to Restricted Stock Units converting to Common Stock.

2. Restricted Stock Units vested and converted to shares of Common Stock.

3. Shares withheld to pay for taxes on Restricted Stock Units that have vested.

/s/ Douglas H. Marshall,

Attorney-in-Fact

** Signature of Reporting Person Date

12/13/2021

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.